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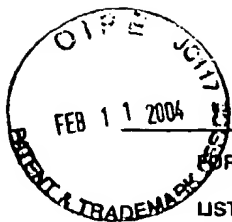
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PATENT APPLICATION

Sheet 1 of 2

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10001558-2

APPLICATION NO.

09/759,443

CONFIRMATION NO.

APPLICANT

Francisco Corella

FILING DATE

Jan. 13, 2001

GROUP

Unkn.

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
m✓	1A	5,210,795	05/1993	Lipner et al.	
	1B	5,224,163	06/1993	Gasser et al.	
	1C	5,276,901	01/1994	Howell et al.	
	1D	5,793,868	08/1998	Micali	
	1E	5,796,841	08/1998	Cordery et al.	
	1F	5,996,076	11/1999	Rowney et al.	
	1G	6,023,506	02/2000	Ote et al.	
	1H	6,029,150	02/2000	Kravitz	
	1I	6,044,462	03/2000	Zubeldia et al.	
	1J	6,058,484	05/2000	Chapman et al.	
↓	1K	6,092,201	07/2000	Turnbull et al.	

FOREIGN PATENT DOCUMENTS

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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

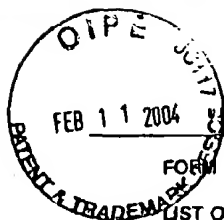
m✓	1Q	Foster, Ian. A Security Architecture for Computational Grids. ACM. San Francisco CA, 1998.
m✓	1R	Wheeler, Lynn. Three Digital Signature Models...for x9.59. Nov. 28, 1997.
m✓	1S	Stephen Cobb. Network World. Framingham: Jul 7, 1997. Vol. 14, Iss. 27; pg. 53, 3 pgs.

EXAMINER

Michael Tapp

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8-25-01



PATENT APPLICATION
Sheet 2 of 2

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MV	2A	6,202,151	03/2001	Musgrave et al.	
	2B	6,212,636	04/2001	Boyle et al.	
	2C	6,253,322	06/2001	Susaki et al.	
	2D	6,263,446	07/2001	Kausik et al.	
	2E	6,324,645	11/2001	Andrews et al.	
	2F	6,327,578	12/2001	Linehan	
	2G	6,393,563	05/2002	Maruyama et al.	
	2H	6,484,259	11/2002	Barlow	
	2I	2002/0143710	10/2002	Liu	
	2J				
	2K				

FOREIGN PATENT DOCUMENTS

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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

MV	2Q	Encryption Plus Folders. Encryption Plus Folders Enterprise. 2002.
MV	2R	Moeller, Michael. Digital IDs: offering expanded view of users: Verisign's next Digital certificates extend electronic ID's to include personal Data. PC Week. Zipp-Davis Publishing Co. February 3, 1997.
	2S	

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Mich Vap

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MV	1A	5,903,882	5/1999	Asay et al.	
MV	1B	5,982,898	11/1999	Hsu et al.	
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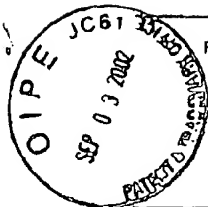
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<i>mv</i>	1A 4,881,264	11/1989	Merkle	
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<i>mv</i>	1L WO 01/43344	14 June 2001	Burton S. Kaliski Jr		
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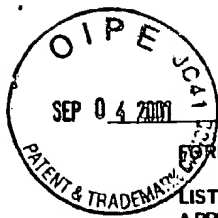
<i>mv</i>	1Q	Press, Jim, "Secure Transfer of Identity and Privilege Attributes in an Open Systems Environment," Computers & Security, Vol. 10, No. 2., pps. 117-127, (April 1991).
<i>mv</i>	1R	"SET Secure Electronic Transaction Specification," Book 1: Business Description, Version 1.0, XP-002203148, pps. i-72, (May 31, 1997).
<i>mv</i>	1S	A partial copy of European Search Report for Application No. EP 00 31 0771 mailed on July 12, 2002 (4 pages).

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<i>mv</i>	1L	WO 99/35783	15 July 1999	Matthew Hur et al.				
	1M							
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	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

<i>mv</i>	1Q	R. Rivest, "Can We Eliminate Certificate Revocation Lists?," MIT Laboratory for Computer Science, pp. 178-183, (Feb. 1998). < http://theory.lcs.mit.edu/rivest >
<i>mv</i>	1R	Menezes, "Handbook of Applied Cryptography," pps. 33, 37-39, 321-322, 559-560, 576-577, (1997).
<i>mv</i>	1S	A copy of PCT International Search Report for International Application No. PCT/US01/01520 mailed on August 10, 2001(8 pages).

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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

m✓	1Q	International Telecommunication Union (ITU-T) Recommendation X.509, "Information Technology Open Systems Interconnection, The Directory: Authentication Framework," pp. i-81 (June 1997).
	1R	
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MV	1L	WO 99/19845	4/1999	AT & T Corp.		
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

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PATENT APPLICATION

Sheet 1 of 7

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APPLICANT

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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

MV	1Q	M. Boe et al., "TLS-Based Telnet Security," Internet Engineering Task Force, Internet Draft, pp. 1-20, (Updated Version October 24, 2000, Previous Version September 1998). < http://www.normos.org/ietf/draft/draft-ietf-tn3270e-telnet-tls-05.txt >
MV	1R	D. Borman, "Telnet Authentication Option," Network Working Group, Request for Comments: 1416, pp. 1-7, (February 1993). < http://www.csl.sony.co.jp/rfc/ >
MV	1S	M. Carpenter et al., "Securing FTP with TLS," Internet Engineering Task Force, Internet Draft, pp. 1-23, (January 28, 1998). < http://war.jgaa.com/ftp/draft/draft-murray-auth-ftp-ssl-03.txt >

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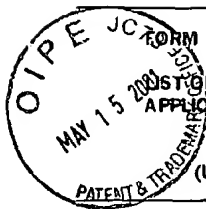
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PATENT APPLICATION

Sheet 2 of 7



FORM PTO-1449

 U.S. DEPARTMENT OF PATENTS AND PUBLICATIONS FOR
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 STATEMENT

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SERIAL NO.

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Francisco Corella

FILING DATE

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

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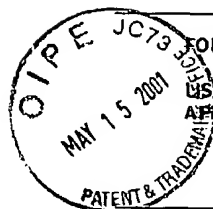
<i>mv</i>	2Q ¹	C. Ellison et al., "SPKI Certificate Theory," Network Working Group, Request for Comments: 2693, pp. 1-43, (Updated Version September 1999, Previous Version November 17, 1998). < http://www.csl.sony.co.jp/rfc/ >
<i>mv</i>	2R ¹	S. Farrell, "TLS Extensions for AttributeCertificate Based Authorization," Transport Layer Security Working Group, Internet Engineering Task Force, Internet Draft, pp. 1-11, (August 20, 1998). < http://www.alternic.org/draft/draft-ietf-tls-attr-cert-01.txt >
<i>mv</i>	2S ¹	M. Horowitz et al., "FTP Security Extensions," Networking Working Group, Request for Comments: 2228, pp. 1-27, (October 1997). < http://www.csl.sony.co.jp/rfc/ >

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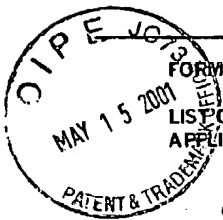
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MV	3Q	R. Housley et al., "FTP Authentication Using DSA," CAT Working Group, Internet Engineering Task Force, Internet Draft, pp. 1-8, (February 1998). < http://www.alternic.org/draft/draft/ietf-cat-ftpsaaauth-02.txt >
MV	3R	R. Housley et al., "Telnet Authentication Using DSA," Secure Telnet Working Group, Internet Engineering Task Force, Internet Draft, 7 pages, (July 1998). < http://www.alternic.org/draft/draft/housley-telnet-auth-dsa-02.txt >
MV	3S	J. Kohl et al., "The Kerberos Network Authentication Service (V5)," Network Working Group, Request for Comments: 1510, pp. 1-112, (September 1993). < http://www.csl.sony.co.jp/rfc/ >

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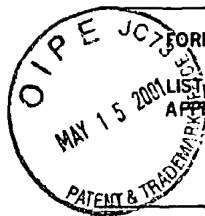
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

MV	4Q	S. Micali, "Efficient Certificate Revocation," Massachusetts Institute of Technology, Laboratory for Computer Science, pp. 1-10 (March 22, 1996).
MV	4R	M. Myers et al., "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol-OCSP," Network Working Group, Request for Comments: 2560, pp. 1-22, (June 1999). < http://www.csl.sony.co.jp/rfc/ >
MV	4S	M. Naor et al., "Certificate Revocation and Certificate Update," USENIX Association, Seventh USENIX Security Symposium, pp. 217-228, (January 26-29, 1998).

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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

<i>mv</i>	5Q ¹	C. Newman, "Telnet SASL Option," Network Working Group, Internet Engineering Task Force, Internet Draft, 6 pages, (November 1998). < http://globecom.net/ietf/draft/draft-newman-telnet-sasl-01.html >
<i>mv</i>	5R ¹	E. Rescorla, "HTTP Over TLS," Internet Engineering Task Force, Internet Draft, pp. 1-6, (September 1998). < http://www.alternic.org/draft/draft-ietf-tls-https-02.txt >
<i>mv</i>	5S ¹	RSA Security Inc., "RSA Keon Advanced PKI: A Security Architecture for Enabling E-Business," Solution White Paper, pp. 1-14, (1999). < http://www.rsasecurity.com/products/keon/whitepapers/advpkiwp/rsa_keon_advanced_pki_wp.pdf >

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PATENT APPLICATION

Sheet 6 of 7



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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

MV	6Q1	RSA Security Inc., "Security Services Provided by the RSA Keon Desktop v5.1," White Paper, pp. 1-8, (1999). < http://www.rsasecurity.com/products/keon/whitepapers/desktop/keon_desktop_wp.pdf >
MV	6R	B. Tung et al., "Public Key Cryptography for Initial Authentication in Kerberos," Internet Engineering Task Force, Internet Draft, 21 pages (Expires May 15, 1999) < http://www.alternic.org/draft/draft-ietf-cat-kerberos-pk-init-07.txt >
MV	6S	Web Page of the "Simple Public Key Infrastructure (spki)," Working Group, 2 pages, (Last Modified January 16, 2001), < http://www.ietf.org/html.charters/spki-charter.html >

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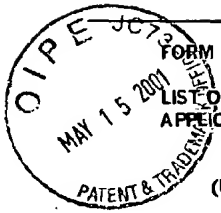
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PATENT APPLICATION

Sheet 7 of 7



FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10001558-2

SERIAL NO.

09/759,443

APPLICANT

Francisco Corella

FILING DATE

Jan. 13, 2001

GROUP

Unk.

RECEIVED
MAY 22 2001
Technology Center 2100

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	7A					
	7B					
	7C					
	7D					
	7E					
	7F					
	7G					
	7H					
	7I					
	7J					
	7K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	7L							
	7M							
	7N							
	7O							
	7P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

MV	7Q	Web Page of the "Transport Layer Security (tls)," Working Group, 2 pages, (Last Modified March 30, 2001), < http://www.ietf.org/html.charters/tls-charters.html >
	7R	
	7S	

EXAMINER

Michael Lef

DATE CONSIDERED

8-75-024